



Rev. 5/12/23

Sponsored Research Agreement (SRA) Acceptance and Acknowledgement Form

Principal Investigator's Name:
Your Name (if you are not PI):
Project Title:
SRA Number:
Sponsoring Agency:

Pass Through Entity (if applicable):
Project Start Date:
Project End Date:

1. *By signing below, I acknowledge that I received a copy of the agreement and have reviewed and understood the (check all applicable):*
 - reporting requirements
 - export control requirements
 - intellectual property terms,
 - General and Special Terms and Conditions of this SRA and the NMC compliance checklist as indicated in Attachment A and Attachment B

2. *I also acknowledge that it is my responsibility as a PI to obtain the following approvals as applicable (to initiate approval paperwork, contact research@newmexicoconsortium.org):*
 - IRB approval (for research involving human subjects)
 - IACUC approval (for research involving vertebrate animals)
 - IBC approval (for research involving recombinant or synthetic nucleic acid molecules)
 - NMC employees only: NMC pre-approval of any agreements I or my staff sign with other entities
 - Export Control licenses or exclusions

3. *I understand that all publications, including presentations, resulting from research sponsored by this agreement require prior NMC approval. I will initiate approval process by contacting NMC Research Administrator at least 2 weeks prior to publication. I will instruct my staff working on this SRA to follow NMC guidelines related to publication approval.*

4. *I understand that travel sponsored by this SRA requires NMC approval prior to travel. I will initiate approval process by contacting NMC Research Administrator. I will instruct my staff working on this SRA to follow NMC guidelines related to travel and reimbursement.*

Signature: _____

Date: _____

(For more information and contacts, visit our [Office of Research Administration](#) website)



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Attachment A

If you are a LANL Employee (with approved Outside Activity):

- Whenever an invention or discovery is made, conceived or developed by a LANL employee solely or jointly, he or she is obligated to disclose any invention which he or she makes while working on this SRA to the Laboratory for a determination of whether LANL and/or the US Department of Energy has rights in the invention. This LANL employee may not, by contract or otherwise, waive these rights of the LANL or the US Department of Energy
- The LANL employee's effort, where it relates to LANL work, remains subject to the applicable LANL patent disclosure, publishing, security, public domain, and export control requirements.

Export Control Compliance at NMC

- Review the [Export Control Guidelines](#).
- After reviewing the export control guidelines, please fill out the [Export Control Screening Form](#) and return it to research@newmexicoconsortium.org for review.
- Contact your NMC Research Administrator to initiate Export Control training.

Travel

- Before booking travel please review the [Travel Reimbursement Policy](#) and send a travel justification, list of anticipated costs to your Research Administrator (research@newmexicoconsortium.org). Visit our Travel Website to review applicable forms and how to submit your travel for reimbursement.

Integrity of Research.

- JAs only: Please review our [Research Integrity Policy](#) (sign and return [Training Acknowledgement Form](#) to NMC HR. In the Form select "Other" and enter "Research Integrity Policy").

RECR

- JAs only: Review [Responsible and Ethical Conduct of Research \(RECR\)](#)

Use of Non-NMC Facilities

- If you need to use a non-NMC facility, contact your Research Administrator to initiate an inter-institutional agreement for the facility use or an approval of your individual agreement with the facility.

Publications

- Regardless of your SRA approval requirements, any time a publication or presentation results from NMC related research, prior approval is required. Prior to the travel or payment of the publication, please submit a [Publication Approval](#) form.



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Attachment B – LANL Funded Research

“The NMC shall provide LANL an opportunity to review any proposed manuscripts describing, in whole or in part, the results of the work performed or any data through LANL-funded activities of this Agreement at least forty-five (45) days prior to their submission for publication. ” Which means that the **researcher must submit the proposed publication to RA no later than 50 days prior to planned submission date**. Otherwise, the researcher must provide Research Administrator with a copy of LANL prior approval at the time of NMC Publication Approval request.

The parties understand that materials and information resulting from the performance of this Subcontract may be subject to export control laws and that each party is responsible for its own compliance with such laws.

- Review the [Export Control Guidelines](#).
- After reviewing the export control form, please meet with your Subcontract Technical Representative (STR), fill out the [Export Control Screening Form](#) and return it to research@newmexicoconsortium.org
- If you are the PI on the project, Export Compliance Training may be required. Your Research Administrator will notify you on how to complete the trainings.

Intellectual Property

- In all intellectual property developed pursuant to the LANL/NMC Institutional Agreement (IA), the United States Government has retained for itself and others acting on its behalf a paid-up, nonexclusive, nontransferable irrevocable license to practice or have practiced patent rights, and to publish, distribute, translate, duplicate, exhibit, prepare derivative work and perform any copyrighted work throughout the world by or on behalf of the United States Government (referred to herein as "Government Use License"). Any Patent application filed pursuant to the IA shall include with the specification of the Patent application the statement, "This invention was made with Government support under Contract Number 89233217CNA000001 awarded by the U.S. Department of Energy DOE/NNSA). The Government has certain rights in the invention."
- Additionally, each Party shall own title to any Subject Invention made solely by its employees. LANL staff working for the NMC under Joint Appointments shall be considered LANL employees for the purposes of this agreement.
- Title to jointly made Subject Inventions shall be jointly owned. If either Party affirmatively elects in writing not to retain its interest in the title to a Subject Invention, that Party will take all actions necessary to assign title to the other Party. The DOE may obtain title to any Subject Invention that is not retained by LANL, as defined in the LANL Contract with DOE/NNSA.